

2FW/3763

S/N 10/580,878

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeremy W Snow et al.

Examiner: Unknown

Serial No.: 10/580,878

Group Art Unit: 3763

Filed: May 25, 2006

Docket: SHP026.4.1A.10/

80240.044US1

Title: RESETTABLE SAFETY SHIELD FOR MEDICAL NEEDLES

COMMUNICATION RE: INCORRECT FILING RECEIPT

**MS Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received February 12, 2007, (copy enclosed), first named inventor is listed as Jeremy K.Snow. The Filing Receipt **should read: Jeremy W. Snow.**

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,  
JEREMY W. SNOW

By his Representatives,  
**Customer Number: 26152**  
801-298-3360

Date 3/13/07

By

Paul S. Evans  
Reg. No.: 36130

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13 day of March, 2007.

Laura Bray

Name

Laura Bray

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeremy W. Snow et al.

Title: RESETTABLE SAFETY SHIELD FOR MEDICAL NEEDLES

Docket No.: SHP026.4.1A.10/80240.044US1

Serial No.: 10/580,878

Filed: May 25, 2006

Due Date: N/A

Examiner: Unknown

Group Art Unit: 3763

**MS Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ Communication Re: Incorrect Filing Receipt (1 pg.)
- ☒ Copy of Filing Receipt (3 pgs.)
- ☒ Copy of Original Executed Declaration Receipt (4 pgs.)
- ☒ A return postcard (1 pg.).

No Additional fee is required.

**Customer Number: 26152**

Respectfully submitted,

Date 3/13/07

By

Paul S. Evans

Reg. No.: 36130

801-298-3360

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13 day of March, 2007.

Laura Bray

Name

Laura Bray

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE



80240.044US1

FRCT + NACC

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/580,878	05/25/2006	3763	1500	SHP026.4.1A.10	93	33	3

CONFIRMATION NO. 7314

## FILING RECEIPT



\*OC00000002299224\*

Paul S Evans  
Specialized Health Products Inc  
Intellevate  
P O Box 52050  
Minneapolis, MN 55402

Date Mailed: 02/06/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jeremy K. Snow, North Salt Lake, UT;  
F. Mark Ferguson, Salt Lake City, UT;  
Daniel K. Smith, Woods Cross, UT;  
Roy L. Barros, Centerville, UT;  
Donald D. Solomon, North Salt Lake, UT;  
David L. Thorne, Kaysville, UT;  
Bradley J. Vanderstek, Lindon, UT;

## Power of Attorney:

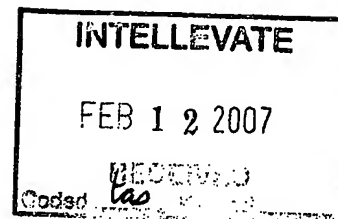
Kevin Laurence-38219

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/39400 11/23/2004  
which claims benefit of 60/608,565 09/10/2004  
and claims benefit of 60/622,392 10/27/2004

## Foreign Applications

UNITED STATES OF AMERICA 10721526 11/25/2003  
UNITED STATES OF AMERICA 10739868 12/18/2003



If Required, Foreign Filing License Granted: 02/01/2007

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US10/580,878**

Projected Publication Date: 05/10/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Resettable safety shield for medical needles

**Preliminary Class**

604

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.  
SHP026.4.1A.10

## Declaration For Patent Application

### English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**RESETTABLE SAFETY SHIELD FOR MEDICAL NEEDLES**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International

Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior applications and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

#### Priority Claimed

PCT/US2004/0394000

(Number)

PCT

(Country)

23 November 2004

(Day/Month/Year Filed)

☒

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

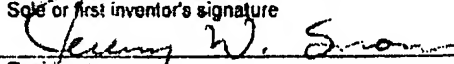
I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:


<u>60/622,392</u>	<u>27 October 2004</u>
(Application Serial No.)	(Filing Date)
 <u>60/608,565</u>	 <u>10 September 2004</u>
(Application Serial No.)	(Filing Date)
 <u>                    </u>	 <u>                    </u>
(Application Serial No.)	(Filing Date)

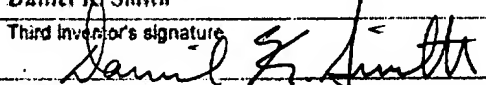
I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:


<u>10/739,868</u>	<u>10 December 2003</u>	<u>pending</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
 <u>10/721,526</u>	 <u>25 November 2003</u>	 <u>pending</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
 <u>                    </u>	 <u>                    </u>	 <u>                    </u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


Full name of sole or first inventor Jeremy W. Snow	
Sole or first inventor's signature 	Date 05/25/06
Residence 155 North 575 East, North Salt Lake, UT 84054	
Citizenship US	
Post Office Address 155 North 575 East, North Salt Lake, UT 84054	

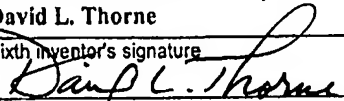
Full name of second inventor, if any F. Mark Ferguson	
Second inventor's signature 	Date 25 MAY 2006
Residence 1595 Treeview Drive, Salt Lake City, UT 84124	
Citizenship US	
Post Office Address 1595 Treeview Drive, Salt Lake City, UT 84124	

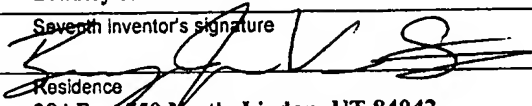
Full name of third inventor, if any Daniel K. Smith	
Third inventor's signature 	Date 25 May 2006
Residence 1473 West 1540 South, Woods Cross, UT 84087	
Citizenship US	
Post Office Address 1473 West 1540 South, Woods Cross, UT 84087	

Full name of fourth inventor, if any Roy L. Barrus	
Fourth inventor's signature 	Date 25 May 06
Residence 196 East Chase Lane, Centerville, UT 84014	
Citizenship US	
Post Office Address 196 East Chase Lane, Centerville, UT 84014	



Full name of fifth inventor, if any <b>Donald D. Solomon</b>	
Fifth inventor's signature 	Date <b>5/24/06</b>
Residence <b>596 East Eagleridge Drive, North Salt Lake, UT 84054</b>	
Citizenship <b>US</b>	
Post Office Address <b>596 East Eagleridge Drive, North Salt Lake, UT 84054</b>	

Full name of sixth inventor, if any <b>David L. Thorne</b>	
Sixth inventor's signature 	Date <b>5/24/06</b>
Residence <b>1759 South 450 East, Kaysville, UT 84037</b>	
Citizenship <b>US</b>	
Post Office Address <b>1759 South 450 East, Kaysville, UT 84037</b>	

Full name of seventh inventor, if any <b>Bradley J. Vanderstek</b>	
Seventh inventor's signature 	Date <b>24 May 06</b>
Residence <b>284 East 750 North, Lindon, UT 84042</b>	
Citizenship <b>US</b>	
Post Office Address <b>284 East 750 North, Lindon, UT 84042</b>	

Full name of eighth inventor, if	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	